# Wilson-Davis & Company-WD INVESTMENTS 236 So. Main St. - Salt Lake City, UT 84101

## **Customer** <u>Affiliation</u> Representations

### This is a legally binding document that will be relied on for compliance with the securities laws. Please complete all items; if any response is "no" or "not applicable," please so state.

The undersigned customer ("Customer") of Wilson-Davis & Co., Inc. ("WDCO"), represents, acknowledges, and agrees as follows respecting proposed transactions in the following stock ("Stock"):

Customer:		Issuer:	Symbol:
Customer representative:		DTC delivery (yes/no):	DWAC delivery (yes/no):
No. of shares:	Cert. no(s):	Dated:	Restrictive legend (yes/no):
No. of shares:	Cert. no(s):	Dated:	Restrictive legend (yes/no):
No. of shares:	Cert. no(s):	Dated:	Restrictive legend (yes/no):

#### **Customer Affiliates**

If Customer is a corporation, limited liability company, partnership, or other entity, list **all** persons who are executive officers, directors, general partners, managers, or 10% or more equity owners and describe each person's relationship with the entity. *These are Customer's "affiliates."* 

Name	Relationship	Name	Relationship
Name	Relationship	Name	Relationship
Name	Relationship	Name	Relationship

If Customer is an officer, director, or owner, beneficially or of record, of 10% or more of the equity securities of any other entity, name such entity and describe the nature of Customer's relationship. *These are also Customer's "affiliates."* 

Name	Relationship	Name	Relationship
Name	Relationship	Name	Relationship

Customer has the authority by power-of-attorney or other means to execute transactions in the Stock for the following others, whether or not at WDCO. *These are also Customer's "affiliates."* 

The following person(s) has/have the authority by power-of-attorney or other means to execute transactions in the Stock for Customer or any of Customer's affiliates, whether or not at WDCO. *These are also Customer's "affiliates."* 

### Customer hereby revokes any and all such previous authorizations.

Customer and all affiliates total beneficial ownership in above Issuer:

Description	Number Owned	Outstanding	Percent*
Number of total shares owned, of record or beneficially, by Customer or			
Customer's spouse, all children sharing Customer's home, and			
Customer's affiliates referred to above			

- 1. Customer is **not**, and has **not** been at any time during the preceding 90 days, an officer, director, or owner, beneficially or of record, of 10% or more of the above Issuer's common stock.
- 2. Customer does **not** have, and will **not** acquire until after the Stock is sold or withdrawn from sale, the shared or exclusive power, right, or authority to effect transactions in the Stock of the above Issuer on behalf of any other person at WDCO or any other firm, except as noted above.

#### Acting in Concert

- 3. Any participation by Customer in any joint activity or parallel action toward a common goal involving the Stock of the above Issuer, whether or not pursuant to an express agreement, is as follows.
  - (a) Customer's proposed sale of the Stock is **not** being coordinated with possible sales by other stockholders.
  - (b) Customer is **not** paying or sharing, in any manner, any portion of the sales proceeds with the Issuer or other person from whom the Stock was purchased.
  - (c) Customer has **not** coordinated and will **not** coordinate with others the sale of the subject Stock, including the sale or transfer of any options, warrants, convertible notes, registration rights, or similar rights, including payments to others as a form of inducement.
  - (d) Neither Customer nor another party is maintaining central financial records of the sales of this security by Customer and others.
  - (e) Customer has **not** communicated and will **not** communicate with WDCO about timing, price, or number of shares of Stock of this Issuer to be sold or purchased by others, even though Customer is not relaying order execution instructions on behalf of any other person.
- 4. If any of the statements in paragraphs (a) through (e) above are inaccurate, Customer provides the following explanation:
- 5.

# Customer, under penalty of perjury, hereby swears and affirms that all information provided by Customer in this agreement and otherwise to WDCO is and will be accurate and complete.

#### **CUSTOMER:**

#### Signature

Date

Registered Representative has reviewed the above for completeness and confirms that the information set forth above is accurate and complete to the best of Registered Representative's knowledge.

Signature of Registered Representative:	Date:	Signature of Reviewer:	Date:
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